

COMPTROLLER OF PUBLIC ACCOUNTS
Treasury Operations – Cash & Securities Management Division

COMPTROLLER USE ONLY

Deposit of Securities Application

Application for deposit of securities with custodian, in accordance with section 404.031 TEX. GOVT. CODE.

TO DEPOSIT SECURITIES: Please complete this form and Email one signed copy to collateral@cpa.texas.gov. If accepted, the Comptroller will approve and Email a copy to the custodian, who will execute and return one copy of the deposit form and one copy of the new safekeeping receipt to the Comptroller and one copy of each to the depository depositing securities.

DEPOSIT NUMBER

• PLEASE TYPE •

Depository name	Routing (ABA) number	City
Custodian of securities	Routing (ABA) number	City

SAFEKEEPING RECEIPT NUMBER	DESCRIPTION OF SECURITIES <i>List each issue by CUSIP with separate Safekeeping Receipt Number for each CUSIP.</i>				PAR VALUE DEPOSITED (Original face for mortgage backs)	COMPTROLLER USE ONLY
	NAME (Maximum five securities per page)	MATURITY	INTEREST RATE	CUSIP		
Check appropriate box: ☐ State collateral ☐ Pooled collateral					TOTAL PAR DEPOSIT THIS PAGE	

DEPOSITORY USE ONLY

The depository named above is pledging only those securities in which it is the legal and actual owner, free and clear of all other liens and claims.	
Print Name	Date
Authorized signature sign here	
Title	Phone number (Area code and number- Required)

CUSTODIAN USE ONLY

Email one form and safekeeping receipt(s) to the Comptroller and send one form to the depository.	
RECEIPTED, DEPOSITED AND CERTIFIED THAT ALL THE ABOVE SECURITIES ARE IN TRANSFERABLE FORM.	
Printed Name	Date
Authorized signature sign here	
Title	Phone number (Area code and number-Required)

COMPTROLLER USE ONLY

TO THE CUSTODIAN: You are hereby authorized to accept the above deposit of securities.		
Authorized Comptroller representative sign here	Approved 03 ☐	Date

MAILING ADDRESS:

Comptroller of Public Accounts
Treasury Operations, Rusk Bldg., Rm. 4.200
Cash & Securities Management Division
P.O. Box 12608
Austin, TX 78711-2608

PHONE: 512-463-5902 or
512-463-3875
Email: Collateral@CPA.Texas.gov