

**PREAWARD SURVEY OF PROSPECTIVE CONTRACTOR
FINANCIAL CAPABILITY**

SERIAL NO. (For surveying activity use)

PROSPECTIVE CONTRACTOR

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SECTION I - RECOMMENDATION

1. RECOMMENDED

☐ a. COMPLETE AWARD ☐ b. PARTIAL AWARD (Quantity: _____) ☐ c. NO AWARD

2. TOTAL OFFERED PRICE

3. NARRATIVE (Cite those sections of the report which substantiate the recommendation. Give any other backup information in this space or on an additional sheet, if necessary.)

IF CONTINUATION SHEETS
ATTACHED - MARK HERE ☐

4. SURVEY MADE BY	a. SIGNATURE	b. TELEPHONE NUMBER (Include area code)	c. DATE SIGNED
5. SURVEY REVIEWING OFFICIAL	a. SIGNATURE	b. TELEPHONE NUMBER (Include area code)	c. DATE REVIEWED

SECTION II - GENERAL

1. TYPE OF COMPANY ☐ CORPORATION ☐ PARTNERSHIP ☐ SUBSIDIARY ☐ DIVISION ☐ PROPRIETORSHIP ☐ OTHER (<i>Specify</i>)	3. NAME AND ADDRESS OF: a. PARENT CO. b. SUBSIDIARIES
2. YEAR ESTABLISHED:	

SECTION III - BALANCE SHEET/PROFIT AND LOSS STATEMENT

PART A - LATEST BALANCE SHEET			PART B - LATEST PROFIT AND LOSS STATEMENT								
1. DATE	2. FILED WITH		1. CURRENT PERIOD		2. FILED WITH						
			a. FROM	b. TO							
3. FINANCIAL POSITION			3. NET SALES 4. NET PROFITS BEFORE TAXES <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">a. CURRENT PERIOD</td> <td style="width: 40%;">\$</td> </tr> <tr> <td>b. First prior fiscal year</td> <td></td> </tr> <tr> <td>c. Second prior fiscal year</td> <td></td> </tr> </table>			a. CURRENT PERIOD	\$	b. First prior fiscal year		c. Second prior fiscal year	
a. CURRENT PERIOD	\$										
b. First prior fiscal year											
c. Second prior fiscal year											
a. Cash	\$										
b. Accounts Receivable											
c. Inventory											
d. Other Current Assets											
e. Total Current Assets											
f. Fixed Assets											
g. Current Liabilities											
h. Long Term Liabilities											
i. Total Liabilities											
j. Net Worth											
4. WORKING CAPITAL (<i>Current Assets less Current Liabilities</i>)			1. FISCAL YEAR ENDS (<i>Date</i>): 2. BALANCE SHEETS AND PROFIT AND LOSS STATEMENTS HAVE BEEN CERTIFIED <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">a. THROUGH (<i>Date</i>)</td> <td style="width: 40%;">b. BY (<i>Signature</i>)</td> </tr> </table>			a. THROUGH (<i>Date</i>)	b. BY (<i>Signature</i>)				
a. THROUGH (<i>Date</i>)	b. BY (<i>Signature</i>)										
5. RATIOS											
a. CURRENT ASSETS TO CURRENT LIABILITIES	b. ACID TEST (<i>Cash, temporary investments held in lieu of cash and current receivables to current liabilities</i>)	c. TOTAL LIABILITIES TO NET WORTH	3. OTHER PERTINENT DATA								

SECTION IV - PROSPECTIVE CONTRACTOR'S FINANCIAL ARRANGEMENTS

Mark "X" in appropriate column.	YES	NO	4. INDEPENDENT ANALYSIS OF FINANCIAL POSITION SUPPORTS THE STATEMENTS SHOWN IN ITEMS 1, 2, AND 3 ☐ YES ☐ NO (<i>If "NO", explain</i>)
1. USE OF OWN RESOURCES			
2. USE OF BANK CREDITS			
3. OTHER (<i>Specify</i>)			

SECTION V - GOVERNMENT FINANCIAL AID

1. TO BE REQUESTED IN CONNECTION WITH PERFORMANCE OF PROPOSED CONTRACT Mark "X" in appropriate column.	2. EXPLAIN ANY "YES" ANSWERS TO ITEMS 1a, b, AND c.		
YES NO a. PROGRESS PAYMENT(S) b. GUARANTEED LOAN c. ADVANCE PAYMENTS			
3. FINANCIAL AID CURRENTLY OBTAINED FROM THE GOVERNMENT			
a. PROSPECTIVE CONTRACTOR RECEIVES GOVERNMENT FINANCING AT PRESENT ☐ YES ☐ NO	Complete items below only if item a., is marked "YES."		
b. IS LIQUIDATION CURRENT?	c. AMOUNT OF UNLIQUIDATED PROGRESS PAYMENTS OUTSTANDING	DOLLAR AMOUNTS	(a) AUTHORIZED
☐ YES ☐ NO	\$	a. Guaranteed loans	\$
		b. Advance payments	\$
4. LIST THE GOVERNMENT AGENCIES INVOLVED		5. SHOW THE APPLICABLE CONTRACT NOS.	

SECTION VI - BUSINESS AND FINANCIAL REPUTATION

1. COMMENTS OF PROSPECTIVE CONTRACTOR'S BANK

2. COMMENTS OF TRADE CREDITORS

3. COMMENTS AND REPORTS OF COMMERCIAL FINANCIAL SERVICES AND CREDIT ORGANIZATIONS (Such as, Dun & Bradstreet, Standard and Poor, etc.)

4. MOST RECENT CREDIT RATING	a. DATE	b. BY
5. DOES PRICE APPEAR UNREALISTICALLY LOW? ☐ YES ☐ NO (If Yes, explain in Section I NARRATIVE)		
6. DESCRIBE ANY OUTSTANDING LIENS OR JUDGEMENTS		

SECTION VII - SALES (000'S) FOR NEXT SIX QUARTERS

CATEGORY	1	2	3	4	5	6	TOTAL
1. CURRENT CONTRACT SALES (Backlog)							
A. GOVERNMENT (Prime & Subcontractor)							
B. COMMERCIAL							
2. ANTICIPATED ADDITIONAL SALES							
A. GOVERNMENT (Prime & Subcontractor)							
B. COMMERCIAL							
3. TOTALS							